

S&H Form: (2/01)

FORM PTO-1449

DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTORNEY DOCKET NO.
1364.1007APPLICATION NO.
09/453,023**LIST OF REFERENCES CITED BY APPLICANT**FIRST NAMED INVENTOR
Rafael HEREDIA, et al.FILING DATE
December 2, 1999GROUP ART UNIT
2712

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|----------------------|----|-----------------|----------|-------------|-------|---------------|----------------|
| RL | AA | 5,751,672 | 05/12/98 | Yankowski | | | |
| RL | AB | 5,768,539 | 06/16/98 | Metz et al. | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |

RECEIVED

JUL 17 2001

Technology Center 2600

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION YES NO |
|----|----|-----------------|----------|---------|-------|---------------|-----------------------|
| RL | AG | 0 910 088 | 04/21/99 | Europe | | | |
| RL | AH | 0 817 181 | 01/07/98 | Europe | | | |
| RL | AI | 98/09290 | 03/05/98 | WIPO | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|----|--|
| | AM | |
| | AN | |
| | AO | |

EXAMINER

Robert Chaslin

DATE CONSIDERED

11/27/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED

Sheet 1 of 4

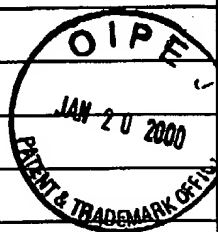
| | | | | | |
|---------------|------------------------------------------------------------|----------------------|------------------|-----------------|------------|
| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY DOCKET NO. | JAN 27 1999 | APPLICATION NO. | 09/453,023 |
| | | 1364.1007/RAG | Group 2700 | | |
| | | FIRST NAMED INVENTOR | Rafael HEREDIA | | |
| | | FILING DATE | December 2, 1999 | GROUP ART UNIT | 2712 |

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|----------------------|----|-----------------|----------|------------------|-------|---------------|----------------|
| PL | AA | 5,724,106 | 03/03/98 | Autry et al. | | | |
| PL | AB | 5,517,612 | 05/14/96 | Dwin et al. | | | |
| PL | AC | 5,555,098 | 09/10/96 | Parulski | | | |
| PL | AD | 5,523,796 | 06/04/96 | Marshall et al. | | | |
| PL | AE | 5,854,621 | 12/29/98 | Junod et al. | | | |
| PL | AF | 5,774,063 | 06/30/98 | Berry et al. | | | |
| PL | AG | 5,412,766 | 05/02/95 | Pietras et al. | | | |
| PL | AH | 4,703,359 | 10/27/87 | Rumbolt et al. | | | |
| PL | AI | 5,598,523 | 01/28/97 | Fujita | | | |
| PL | AJ | 5,307,173 | 04/26/94 | Yuen et al. | | | |
| PL | AL | 5,488,409 | 01/30/96 | Yuen et al. | | | |
| PL | AM | 5,515,173 | 05/07/96 | Mankovitz et al. | | | |
| PL | AN | 5,974,222 | 10/26/99 | Yuen et al. | | | |
| PL | AO | 5,970,206 | 10/19/99 | Yuen et al. | | | |
| PL | AP | 5,915,026 | 06/22/99 | Mankovitz | | | |
| PL | AQ | 5,886,746 | 03/23/99 | Yuen et al. | | | |
| PL | AR | 5,870,150 | 02/09/99 | Yuen | | | |
| PL | AS | 5,852,478 | 12/22/98 | Kwoh | | | |
| PL | AT | 5,710,601 | 01/20/98 | Marshall et al. | | | |
| PL | AU | 5,701,383 | 12/23/97 | Russo et al. | | | |
| PL | AV | 5,673,089 | 09/30/97 | Yuen et al. | | | |



| | | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------|-----------------|----------|
| EXAMINER | Robert Chae | DATE CONSIDERED | 11/27/04 |
| <p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> | | | |

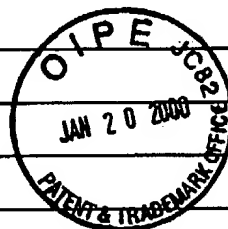
RECEIVED

JAN 27 2000 Sheet 2 of 4

| | | | |
|--------------------------------------------------------------------------------|------------------------------------------------------------|----------------------------------------|-------------------------------|
| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY DOCKET NO. 1364.1007/RAG | APPLICATION NO. 09/453,023 |
| LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary) | | FIRST NAMED INVENTOR Rafael HEREDIA | |
| | | FILING DATE December 2, 1999 | GROUP ART UNIT 2712 |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|----------------------|----|-----------------|----------|-------------------|-------|---------------|----------------|
| Re | BA | 5,619,383 | 04/08/97 | Ngai | | | |
| Re | BB | 5,559,550 | 09/24/96 | Mankovitz | | | |
| Re | BC | 5,553,123 | 09/03/96 | Chan et al. | | | |
| Re | BD | 5,552,837 | 09/03/96 | Mankovitz | | | |
| Re | BE | 5,541,738 | 07/30/96 | Mankovitz | | | |
| Re | BF | 5,539,391 | 07/23/96 | Yuen | | | |
| Re | BG | 5,532,732 | 07/02/96 | Yuen et al. | | | |
| Re | BH | 5,969,748 | 10/19/99 | Casement et al. | | | |
| Re | BI | 5,959,688 | 09/28/99 | Schein et al. | | | |
| Re | BJ | 5,949,954 | 09/07/99 | Young et al. | | | |
| Re | BL | 5,940,073 | 08/17/99 | Klosterman et al. | | | |
| Re | BM | 5,923,362 | 07/13/99 | Klosterman | | | |
| Re | BN | 5,828,945 | 10/27/98 | Klosterman | | | |
| Re | BO | 5,809,204 | 09/15/98 | Young et al. | | | |
| Re | BP | 5,808,608 | 09/15/98 | Young et al. | | | |
| Re | BQ | 5,801,787 | 09/01/98 | Schein et al. | | | |
| Re | BR | 5,790,198 | 08/04/98 | Roop et al. | | | |
| Re | BS | 5,727,060 | 03/10/98 | Young | | | |
| Re | BT | 5,694,334 | 12/2/97 | Donahue et al. | | | |
| Re | BU | 5,684,525 | 11/4/97 | Klosterman | | | |
| Re | BV | 5,619,274 | 04/08/97 | Roop et al. | | | |



| | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|
| EXAMINER <i>Robert Ch. al.</i> | DATE CONSIDERED <i>11/27/04</i> |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

RECEIVED

JAN 27 2000

Group 2700 Sheet 3 of 4

| | | | |
|--------------------------------------------------------------------------------|------------------------------------------------------------|----------------------------------------|-------------------------------|
| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY DOCKET NO. 1364.1007/RAG | APPLICATION NO. 09/453,023 |
| LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary) | | FIRST NAMED INVENTOR Rafael HEREDIA | |
| | | FILING DATE December 2, 1999 | GROUP ART UNIT 2712 |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|----------------------|----|-----------------|----------|-----------------|-------|---------------|----------------|
| Re | CA | 5,550,576 | 08/27/96 | Klosterman | | | |
| Re | CB | 5,532,754 | 07/02/96 | Young et al. | | | |
| Re | CC | 5,479,268 | 12/26/95 | Young et al. | | | |
| Re | CD | 5,353,121 | 10/04/94 | Young et al. | | | |
| Re | CE | 5,523,796 | 06/04/96 | Marshall et al. | | | |
| Re | CF | 5,346,326 | 09/13/94 | Bienvenu | | | |
| Re | CG | 5,335,079 | 08/02/94 | Yuen, et al. | | | |
| Re | CH | 5,307,173 | 04/26/94 | Yuen, et al. | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|----|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Re | CI | "Yamada @ PET RP-U100 Receiver", printed Nov. 24, 1999 from http://www.yamaha.com/yec/products/newprods/PCChometheater/pchtinfo.htm/ |
| Re | CJ | "SRS Labs Files Patents for Internet Audio Broadcast Technologies for the Next Generation of Enhanced Stereo and Surround Sound", PR Newswire, December 15, 1999. |
| Re | CK | HTPC Home Theater PC advertisement in <u>Computer Shopper</u> , December 1999. |
| Re | CL | Logitech Mouse and Trackball User's Guide. |
| Re | CM | Xantech Models 282-00 and 284-00 Mini Emitters Installation Instructions. |
| Re | CN | ADCOM GFB-800 Operating Instructions. |
| Re | CO | ADCOM GTP-600 Surround Sound Tuner/Preamplifier Owner's Manual. |
| Re | CP | ADCOM GCD-700 CD Player Owner's Manual |

| | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|
| EXAMINER Robert Chabir | DATE CONSIDERED 4/28/04 |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

RECEIVED

JAN 27 2000

Sheet 4 of 4

| | | | |
|--------------------------------------------------------------------------------|------------------------------------------------------------|----------------------------------------|-------------------------------|
| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTORNEY DOCKET NO. 1364.1007/RAG | APPLICATION NO. 09/453,023 |
| LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary) | | FIRST NAMED INVENTOR Rafael HEREDIA | |
| | | FILING DATE December 2, 1999 | GROUP ART UNIT 2712 |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB- CLASS | FILING DATE |
|----------------------|----|-----------------|------|------|-------|---------------|----------------|
| | DA | | | | | | |
| | DB | | | | | | |
| | DC | | | | | | |
| | DD | | | | | | |
| | DE | | | | | | |
| | DF | | | | | | |
| | DG | | | | | | |
| | DH | | | | | | |
| | DI | | | | | | |
| | DJ | | | | | | |



OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|----|----|-----------------------------------------------------------------------|
| Re | DK | JDS InfraRed Xpander User Manual |
| Re | DL | DVX 8000 manual, Philips Electronics North America Corporation, 1997. |
| | DM | |
| | DN | |
| | DO | |
| | DP | |
| | DQ | |

| | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|
| EXAMINER <i>Robert Chait</i> | DATE CONSIDERED <i>11/27/04</i> |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |